## 802I - AMERICA WEST RESOURCES, INC.

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**Certificate Transaction Journal** 

Transaction Number 1951 Stock Class CS2

Control ID: New Issue

Transaction Date 04/29/11

Registration	Certif. No		Canceled	Issued	
UPTICK CAPITAL LLC 30 MORGAN ST STAMFORD, CT 06905	CS2	5763.		25,000	Restricted
PAUL GAUTIER % RIVERSTONE WEALTH MGMT 7801 CAPITAL TX HWY #310 AUSTIN, TX 78731	CS2	5764		75,000	Restricted
JAVIER LARREA % RIVERSTONE WEALTH MGMT 7801 CAPITAL TX HWY #310 AUSTIN, TX 78731	CS2	5765		37,500	Restricted
BRIAN SMITH % RIVERSTONE WEALTH MGMT 7801 CAPITAL TX HWY #310 AUSTIN, TX 78731	CS2	5766		37,500	Restricted
RIVERSTONE WEALTH MANAGEMENT INC 7801 CAPITAL TX HWY #310 AUSTIN, TX 78731	CS2	5767		50,000	Restricted
JOHN THOMAS BRIDGE & OPPORTUNITY FUND 3 RIVERWAY SUITE 1800 HOUSTON, TX 77056	CS2	5768		4,579	Restricted
BABIKIAN, JOHN JACK ATLANTIS REEF NASSAU, BAHAMAS	CS2	5769	0	550,000 779,579	